#### The Second Incompleteness Theorem

András Máté

 $10\mathrm{th}$  May 2024

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According to Theorem 2. of the previous class, there is a formula  $H(v_1)$  s. t. for any  $n, H(\bar{n}) \leftrightarrow F(\overline{d(n)})$  is provable.

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But the Gödel number of  $H[\bar{h}]$  is d(h), therefore  $H[\bar{h}]$  is a fixed point for F.

# $G\ddot{o}del \ sentences_{new}$

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Earlier definition: the sentence X was a Gödel sentence for the set A if (X is true iff A contains the Gödel number of X).

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The earlier definition can be read as defining the Gödel sentence with respect to  $\mathcal{N}$ .

#### Acceptable functions

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f(x) is acceptable in S if for every representable set A,  $f^{-1}(A)$  is representable, too.

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Let  $H_h(v_1)$  represent  $d^{-1}(A)$ . Then  $H[\overline{h}]$  is provable iff  $H(\overline{h})$  is provable

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**Theorem 2.**: If d(x) is acceptable, then every set A representable in S has a Gödel sentence.

Let  $H_h(v_1)$  represent  $d^{-1}(A)$ . Then  $H[\overline{h}]$  is provable iff  $H(\overline{h})$  is provable iff  $h \in d^{-1}(A)$  iff  $d(h) \in A$ .

**Theorem 2.**: If d(x) is acceptable, then every set A representable in S has a Gödel sentence.

Let  $H_h(v_1)$  represent  $d^{-1}(A)$ . Then  $H[\overline{h}]$  is provable iff  $H(\overline{h})$  is provable iff  $h \in d^{-1}(A)$  iff  $d(h) \in A$ . But d(h) is just the Gödel number of  $H[\overline{h}]$ . Q.e.d.

#### Truth predicates

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But according to Tarski's theorem, there is no such predicate.

#### Another Tarski-like theorem

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**Theorem 4.**: If S is consistent and d(x) is strongly definable, then there is no truth predicate for S. Assume that  $T(v_1)$  is a truth predicate. Then for any X,  $X \leftrightarrow T(\overline{X})$  is provable. **Theorem 4.**: If S is consistent and d(x) is strongly definable, then there is no truth predicate for S.

Assume that  $T(v_1)$  is a truth predicate. Then for any X,  $X \leftrightarrow T(\overline{X})$  is provable.

But according to Theorem 1.,  $\neg T(v_1)$  has a fixed point, i.e. a sentence X for which  $X \leftrightarrow \neg T(\overline{X})$  is provable.

**Theorem 4.**: If S is consistent and d(x) is strongly definable, then there is no truth predicate for S.

Assume that  $T(v_1)$  is a truth predicate. Then for any X,  $X \leftrightarrow T(\overline{X})$  is provable.

But according to Theorem 1.,  $\neg T(v_1)$  has a fixed point, i.e. a sentence X for which  $X \leftrightarrow \neg T(\overline{X})$  is provable.

For this sentence,  $X \leftrightarrow \neg X$  is provable (propositional logic).

**Theorem 4.**: If S is consistent and d(x) is strongly definable, then there is no truth predicate for S.

Assume that  $T(v_1)$  is a truth predicate. Then for any X,  $X \leftrightarrow T(\overline{X})$  is provable.

But according to Theorem 1.,  $\neg T(v_1)$  has a fixed point, i.e. a sentence X for which  $X \leftrightarrow \neg T(\overline{X})$  is provable.

For this sentence,  $X \leftrightarrow \neg X$  is provable (propositional logic). Therefore  $\mathcal{S}$  is inconsistent, against the assumption of the theorem.

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 $P(v_1)$  is a provability predicate for S, if for any sentences X and Y:

- $P_1$  If X is provable, then  $P(\overline{X})$  is provable, too;
- $P_2 \ P(\overline{X \to Y}) \to (P(\overline{X}) \to P(\overline{Y})) \text{ is provable};$
- $P_3 P(\overline{X}) \to P(\overline{P(\overline{X})})$  is provable.

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 is provable.

If  $P(v_1)$  is a  $\Sigma_1$  formula that expresses the set P (of the Gödel numbers of provable sentences) in P.A. and P.A. is consistent, then  $P_1$  holds for it.

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If P.A. is  $\omega$ -consistent, then  $P(v_1)$  represents P, therefore in this case even a biconditional holds: X is provable iff  $P(\overline{X})$  is provable. But simple consistency entails that  $P(v_1)$  represents some superset of P and this is enough for  $P_1$ .

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If P.A. is consistent, then a such  $P(v_1)$  satisfies the conditions  $P_2$  and  $P_3$ , too, but the proof is more difficult; we skip it.

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By  $P_2$  and propositional logic,  $P(\overline{X}) \to P(\overline{Y})$  is provable.

 $\begin{array}{l} P_5 \ \text{If } X \to (Y \to Z) \text{ is provable, then so is} \\ P(\overline{X}) \to (P(\overline{Y}) \to P(\overline{Z})). \end{array}$ 

 $P_4$  If  $X \to Y$  is provable, then so is  $P(\overline{X}) \to P(\overline{Y})$ . Assume the condition. Then, by  $P_1$ ,  $P(\overline{X \to Y})$  is provable, too.

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By  $P_2$ ,  $P(\overline{Y \to Z}) \to (P(\overline{Y}) \to P(\overline{Z}))$  is provable.

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By  $P_2$  and propositional logic,  $P(\overline{X}) \to P(\overline{Y})$  is provable.

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 If  $X \to (Y \to Z)$  is provable, then so is  $P(\overline{X}) \to (P(\overline{Y}) \to P(\overline{Z})).$ 

Assume the condition. Then, by  $P_4$ ,  $P(\overline{X}) \to P(\overline{Y \to Z})$  is provable, too.

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By  $P_2$  and propositional logic,  $P(\overline{X}) \to P(\overline{Y})$  is provable.

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- 1.  $\neg P(\overline{G})$  would be provable;
- 2. by  $P_1$ ,  $P(\overline{G})$  would be provable, too.

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In the following, if not declared otherwise, we are working within some given system S and  $P(v_1)$  is a provability predicate for S.

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By assumption,  $G \leftrightarrow \neg P(\overline{G})$  is provable. If G were provable, then:

- 1.  $\neg P(\overline{G})$  would be provable;
- 2. by  $P_1$ ,  $P(\overline{G})$  would be provable, too.

Be  $\perp$  any sentence refutable in S (a logical falsity or as you like it; a traditional choice is  $(\bar{0} = \bar{1})$ . consist is the sentence  $\neg P(\bar{\perp})$ ).

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The system S is <u>diagonalizable</u> if every formula  $F(v_1)$  has a fixed point.

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If  $P(v_1)$  is a correct provability predicate (i.e., it expresses the set P), then **consis** is true iff  $\perp$  is not provable iff S is consistent. In this sense, it 'expresses' the consistency of S.

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 $G \to (P(\overline{G}) \to \bot)$  is provable, too.

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$$\neg P(\bot) \rightarrow \neg P(G)$$
 is provable.

 $\neg P(\bar{G}) \rightarrow G$  is provable by assumption.

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**Lemma**: If G is a fixed point of  $\neg P(v_1)$ , then the sentence consis  $\rightarrow G$  is provable.

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 $G \leftrightarrow (P(\overline{G}) \to \bot)$  is provable by propositional logic, hence  $G \to (P(\overline{G}) \to \bot)$  is provable, too.

By  $P_6$ ,  $P(\overline{G}) \to P(\overline{\perp})$ , and therefore the sentence

$$\neg P(\overline{\perp}) \rightarrow \neg P(\overline{G})$$
 is provable.

 $\neg P(\bar{G}) \rightarrow G$  is provable by assumption.

By propositional logic, the provability of **consis**  $\rightarrow G$ . follows. From this lemma and Theorem 5., we can prove an abstract form of the second incompleteness theorem (next slide).

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# The Second Incompleteness Theorem in an abstract form

András Máté Gödel 10th May

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# The Second Incompleteness Theorem in an abstract form

**Theorem 6.**: If S is diagonalizable and consistent, then **consis** is not provable.

**Theorem 6.**: If S is diagonalizable and consistent, then consist is not provable.

Because S is diagonalizable,  $\neg P(v_1)$  has a fixed point – be it G. Then  $G \leftrightarrow \neg P(\overline{G})$  is provable. By Theorem 5., G is not provable. But if **consis** were provable, then by the key lemma, G were provable, too – contradiction. **Theorem 6.**: If S is diagonalizable and consistent, then consist is not provable.

Because S is diagonalizable,  $\neg P(v_1)$  has a fixed point – be it G. Then  $G \leftrightarrow \neg P(\overline{G})$  is provable. By Theorem 5., G is not provable. But if **consis** were provable, then by the key lemma, G were provable, too – contradiction.

If S is P.A., then there is a  $\Sigma_1$  formula  $P(v_1)$  expressing the set P. If P.A. is consistent, then the sentence **consis** is true, but the above argument shows that it is not provable. This consideration needs that  $P(v_1)$  is a provability predicate because the key lemma needs it.

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Since P.A. is diagonalizable,  $P(v_1)$  has a fixed point, the sentence H (Henkin, 1952).  $H \leftrightarrow P(\overline{H})$ , therefore H is true iff it is provable. (Gödel's sentence G is true iff it is not provable.) Is Henkin's sentence true and provable or false and not provable? (Suppose that P.A. is consistent.) The answer is Löb's theorem:

Since P.A. is diagonalizable,  $P(v_1)$  has a fixed point, the sentence H (Henkin, 1952).  $H \leftrightarrow P(\overline{H})$ , therefore H is true iff it is provable. (Gödel's sentence G is true iff it is not provable.) Is Henkin's sentence true and provable or false and not provable? (Suppose that P.A. is consistent.) The answer is Löb's theorem: **Theorem 7.**: If S is a diagonalizable system and for the sentence  $Y, P(\overline{Y}) \to Y$  is provable, then Y is provable.

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 $X \leftrightarrow (P(\overline{X}) \to Y)$ , and therefore  $X \to (P(\overline{X}) \to Y)$  is provable.

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 $X \leftrightarrow (P(\overline{X}) \to Y)$ , and therefore  $X \to (P(\overline{X}) \to Y)$  is provable. By  $P_6, P(\overline{X}) \to P(\overline{Y})$  is provable.

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**Theorem 7.**: If  $\mathcal{S}$  is a diagonalizable system and for the sentence  $Y, P(\overline{Y}) \to Y$  is provable, then Y is provable.

Assume hypothesis. Then the formula  $P(v_1) \to Y$  has a fixed point X.

 $X \leftrightarrow (P(\overline{X}) \to Y)$ , and therefore  $X \to (P(\overline{X}) \to Y)$  is provable. By  $P_6, P(\overline{X}) \to P(\overline{Y})$  is provable.

From this and the hypothesis follows that  $P(\overline{X}) \to Y$  is provable.

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**Theorem 7.**: If  $\mathcal{S}$  is a diagonalizable system and for the sentence  $Y, P(\overline{Y}) \to Y$  is provable, then Y is provable.

Assume hypothesis. Then the formula  $P(v_1) \to Y$  has a fixed point X.

 $X \leftrightarrow (P(\overline{X}) \to Y)$ , and therefore  $X \to (P(\overline{X}) \to Y)$  is provable. By  $P_6, P(\overline{X}) \to P(\overline{Y})$  is provable.

From this and the hypothesis follows that  $P(\overline{X}) \to Y$  is provable.

From the fixed point property now follows that X is provable.

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**Theorem 7.**: If  $\mathcal{S}$  is a diagonalizable system and for the sentence  $Y, P(\overline{Y}) \to Y$  is provable, then Y is provable.

Assume hypothesis. Then the formula  $P(v_1) \to Y$  has a fixed point X.

 $X \leftrightarrow (P(\overline{X}) \to Y)$ , and therefore  $X \to (P(\overline{X}) \to Y)$  is provable. By  $P_6, P(\overline{X}) \to P(\overline{Y})$  is provable.

From this and the hypothesis follows that  $P(\overline{X}) \to Y$  is provable.

From the fixed point property now follows that X is provable. By  $P_1$ ,  $P(\overline{X})$  is provable, and by modus ponens, Y is provable.

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### The 2nd incompleteness theorem from Löb's theorem

András Máté Gödel 10th May

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### The 2nd incompleteness theorem from Löb's theorem

Assume that consis, i.e.  $\neg P(\bot)$  is provable.

Assume that consis, i.e.  $\neg P(\bot)$  is provable. Then  $P(\bot) \rightarrow \bot$  is provable. Assume that consis, i.e.  $\neg P(\bot)$  is provable.

Then  $P(\perp) \rightarrow \perp$  is provable.

Hence, by Löb's theorem,  $\perp$  is provable, therefore  $\mathcal{S}$  is inconsistent.