## Zermelo's First Proof of the Well-ordering Theorem

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November 20, 2017

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# $\mathsf{WOT},\mathsf{AC}$

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CLAIM: for any set X, there is an ordering, which well-orders X.

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#### Choice Function

A choice function f is defined on a collection of nonempty sets X, such that, for all A in X, f(A) is an element of A.

 $f: X \to \bigcup X$  s.t.  $\forall A \in X(f(A) \in A)$ 

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### AC – Axiom of Choice

For any collection of nonempty sets X, there is a *choice function* f defined on X.

$$\forall X ( \emptyset \notin X \to \exists f(f : X \to \bigcup X \text{ s.t. } \forall A \in X(f(A) \in A)))$$

- WOT is proved by invoking the new mathematical tool, AC.
- What we prove exactly is that,  $AC \longrightarrow WOT$ .

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### Definitions

- Let M be any arbitrary set, the cardinality of M is denoted by |M| and let m be an arbitrary element of M.
- Let  $M' \subseteq M$ , s.t.  $M' \neq \emptyset$  (so  $m \in M'$  for some  $m \in M$ ).
- Let M M' denote the subset complementary to M'.
- ▶  $\forall M' \forall M'' (\forall X (X \in M' \leftrightarrow X \in M'') \rightarrow M' = M'')$ , where  $M', M'' \subseteq M$ . Otherwise M' and M'' are different.
- Set of all subsets M' is denoted by  $\wp(M)$ .

#### Aim is to prove, that M can be well-ordered!

#### • Distinguished element:

For every M', there is associated an arbitrary element  $m'_1 \in M'$ . Such  $m'_1$  is the *distinguished* element of M'. How can we define it?

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### Define distinguished element $m'_1$

Invoke  $\mathsf{AC}$  and define  $\gamma$  to a choice function as follows:

 $\gamma: \{\wp(M) - \{\emptyset\}\} \to M \text{ s.t. } \forall M' \in \wp(M) \ (\gamma(M') \in M').$ 

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#### Definition of " $\gamma$ -set"

Using a fixed  $\gamma$ , let  $M_{\gamma}$  be defined as follow:

- a)  $M_{\gamma} \subseteq M$
- b)  $M_{\gamma}$  is well-ordered by some ordering  $\prec$
- c) if a is an arbitrary element of  $M_{\gamma}$ , then a determines a set A where  $A = \{x \in M : x \prec a\}$  s.t.  $a = \gamma(M A)$ .

1) Whenever 
$$M'_{\gamma}$$
 and  $M''_{\gamma}$  are any two distinct set:  
 $M'_{\gamma} \cong seg_{M''_{\gamma},\prec}(a)$  for some  $a \in M''_{\gamma}$   
or  
 $M''_{\gamma} \cong seg_{M'_{\gamma},\prec}(a)$  for some  $a \in M'_{\gamma}$ 

- 2) If two  $\gamma$ -sets have an element in common, say a, then  $seg_{M'_{\gamma},\prec}(a) = seg_{M'_{\gamma},\prec}(a)$
- 3) If two  $\gamma$ -sets have two common elements a and b, then in both set  $a \prec b \lor b \prec a$

REMARK: x is a  $\gamma$ -element iff  $x \in M_{\gamma}$  for some  $M_{\gamma}$ .

### $\mathbf{Proof}$

- Let  $L_{\gamma} = \bigcup_{i \in I} M_{\gamma_i}$ . We claim, that  $L_{\gamma}$  is well-ordered and  $L_{\gamma} = M$ . i)  $WO(L_{\gamma})$ 
  - ii)  $L_{\gamma}$  is a  $\gamma$ -set and the largest such
    - i)  $WO(L_{\gamma})$  set:
      - a)  $\operatorname{Conn}(L_{\gamma})$
      - b)  $\mathsf{TO}(L_{\gamma})$
      - c)  $\mathsf{WF}_{L_{\gamma}}(\prec)$

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#### Proof

### ii) $L_{\gamma}$ is a $\gamma$ set:

Let a be an arbitrary  $\gamma$ -element and  $A = \{x : x \prec a\}$  In any  $M_{\gamma}$  containing  $a, A = seg_{M_{\gamma},\prec}(a)$ . According to def. of  $\gamma$ -set  $a = \gamma(M - A)$ , so  $L_{\gamma}$  is a  $\gamma$  set.

### $L_{\gamma}$ is the largest:

Clearly  $L_{\gamma} \subseteq M$ . We have to prove, that  $M \subseteq L_{\gamma}$ . Suppose  $\exists x \in M \text{ s.t. } x \notin L_{\gamma}$ . Then  $M - L_{\gamma} \neq \emptyset$ . But then,  $\exists m' \text{ s.t.} m' = \gamma(M - L_{\gamma})$ . Now let  $L'_{\gamma} = L_{\gamma} \cup \{m'\}$  and define the well-ordering s.t.  $x \prec m'$  for all  $x \in L_{\gamma}$ . But then  $L'_{\gamma}$  would be a  $\gamma$ -set, and m' would be one of its  $\gamma$ -element, which contradict to the assumption, that  $L_{\gamma}$  is the set of all  $\gamma$  elements.