Formalism, Hilbert's program

András Máté

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What is formalism?

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Mathematics investigates formal symbol systems in which there are usually certain symbol sequences called propositions, axioms, theorems etc., certain transformation rules called derivation rules, but all of these are defined in a purely syntactic way, i.e. by reference only to the structure of the symbol sequences. Full-blooded formalism (H.B. Curry, 1963):

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Propositions can have meaning, they can make true or false statements about some objects, but this is irrelevant to mathematics.

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Hilbert and Bernays as non-formalists

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Bernays (1928): 'Making us methodologically free from the intuition of space is not the same as ignoring the fact that the starting points of geometry lie in the intuition of space.'

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In arithmetics, a direct (absolute) proof of consistency is needed.

Reduction to logic cannot guarantee consistency. (This is the lesson of the paradoxes.)

The program

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The program

Hilbert, 1918:

All such questions of principle ... [sc. completeness, consistency, decidability] seem to me to constitute an important new field of research that still needs to be developed. In order to conquer this field we must ... make the very notion of a specifically mathematical proof itself the object of investigation, just as ... the physicist studies the theory of his apparatus, and the philosopher criticizes reason itself.

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The preparatory steps for such an investigation of a mathematical theory are the following:

- Axiomatize the theory
- Formalize the theory (including the logical principles used in it)

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The preparatory steps for such an investigation of a mathematical theory are the following:

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- Formalize the theory (including the logical principles used in it)

The main aim of the investigation is to prove that the risky, transfinite constituents don't make the theory inconsistent.

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E.g. the instances of the scheme

$$\forall x A(x) \lor \exists x \neg A(x)$$

are trivially valid on a finite domain because they can be verified in finitely many steps. But on an infinite domain, after a finite number of steps, it is always possible that we have not find an object a for which $\neg A(a)$ holds but we have not verified $\forall xA(x)$, either.

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Certainty does not lie in logic, but in experience and intuition (as the framework of experience).

Metamathematics is more reliable than other mathematical theories because it minimizes references to infinity.

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We can extend our system (consisting of real elements) with the <u>ideal</u> element ω .

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The method of ideal elements

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'No one will drive us from the paradise which Cantor created for us.'

Finitism

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With relative consistency proofs, we can reduce the problem of consistency of mathematical theories to the consistency of 'more fundamental' ones. The proofs must be purely formal and must not use anything other than the axioms.
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This first link could be a limited fragment of the arithmetics of natural numbers, with a limited logic (bounded quantifiers). In such a theory we would have to prove the consistency of the full Peano arithmetics, and then we could move forward.

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The risky component in arithmetics: mathematical induction. The induction scheme

$$(A(0) \land \forall x (A(x) \to A(x'))) \to \forall x A(x)$$

can only be used in cases where A(x) contains no bounded variable.

The realization (continued to its end)

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Ackermann proves

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An overview of the results of Hilbert's school follows.

Basic metamathematical notions

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 Γ is <u>negation complete</u> iff for every closed sentence A of the language, either A or $\neg A$ is in $Thm(\Gamma)$.

Basic notions II: Semantical notions

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A logical calculus is semantically complete iff all semantically valid inferences can be justified by derivation in the calculus.

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 - at least one formula of the form $A^{(a/x)}$;
 - every formula of the form $A^{(a/x)}$ where a is any in-constant occurring in Γ^* ;

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• if it contains an atomic sentence A and a formula a = b, then it contains both $A^{(a/b)}$ and $A^{(b/a)}$;

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In case II, Γ is inconsistent.